

REPORT DOCUMENTATION PAGE

1. AGENCY USE ONLY (leave blank)		2. REPORT DATE April 1996		3. REPORT TYPE & DATE COVERED DoD Directive 5200.32, 2/26/96	
4. TITLE & SUBTITLE Department of Defense Security Countermeasures (SCM) and Polygraph Education, Training, and Program Support				5. FUNDING NUMBERS	
6. AUTHOR(S) W. Bell					
7. PERFORMING ORGANIZATION NAME(S) & ADDRESS(ES) Assistant Secretary of Defense for Command, Control, Communications, and Intelligence 6000 Defense Pentagon Washington, DC 20301-6000				8. PERFORMING ORGANIZATION REPORT NUMBERS	
9. SPONSORING/MONITORING AGENCY NAME(S) & ADDRESS(ES)				10. SPONSORING/MONITORING AGENCY REPORT NUMBERS	
11. SUPPLEMENTARY NOTES This Directive replaces ADA-272191.					
12a. DISTRIBUTION/AVAILABILITY STATEMENT Unclassified, Release Unlimited.				12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 Words) This Directive reissues DoD Directive 5200.32, dated August 29, 1986; replaces DoD Directive 5210.78; and establishes policy and assigns responsibilities on DoD SCM and polygraph education, training, and program support.					
14. SUBJECT TERMS				15. NUMBER OF PAGES 7 Pages	
				16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT		



Department of Defense DIRECTIVE

February 26, 1996
NUMBER 5200.32

ASD(C3I)

SUBJECT: Department of Defense Security Countermeasures (SCM)
and Polygraph Education, Training, and Program Support

- References:
- (a) DoD Directive 5200.32, "Department of Defense Security Institute (DoDSI)," August 29, 1986 (hereby canceled)
 - (b) DoD Directive 5210.78, "Department of Defense Polygraph Institute (DoDPI)," September 18, 1991 (hereby canceled)
 - (c) DoD Directive 5210.48, "DoD Polygraph Program," December 24, 1984
 - (d) DoD Instruction 5240.5, "DoD Technical Surveillance Countermeasures (TSCM) Survey Program," May 23, 1984
 - (e) through (i), see enclosure 1

A. REISSUANCE AND PURPOSE

This Directive:

- 1. Reissues reference (a).
- 2. Replaces reference (b).
- 3. Establishes policy and assigns responsibilities on DoD SCM and polygraph education, training, and program support.

B. APPLICABILITY AND SCOPE

This Directive:

- 1. Applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Inspector General of the Department of Defense, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").
- 2. Applies to contractors and their personnel.

19960422 045

3. Assigns education, training, and other functions supporting DoD SCM programs and DoD Directive 5210.48 (reference (c)). This includes management of all technical security training, including DoD technical surveillance countermeasures (TSCM) training consistent with DoD Instruction 5240.5 (reference (d)), conducted by or for the Interagency Training Center (ITC) training activity and for related DoD training conducted elsewhere and training activities and functions available to contractors and contractor employees.

C. DEFINITIONS

1. Focal Point. A DoD Component-designated responsibility under this Directive to monitor existing and proposed education and/or training courses, seminars, conferences, or materials, having relevance to a particular SCM discipline, evaluating the quality of such courses or materials, and recommending to the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD(C3I)), through the Security Education Advisory Group (SEAG), those courses and/or materials that the focal point believes should be included in the master data base to be maintained by the Department of Defense Security Institute (DoDSI). A focal point shall also identify to the ASD(C3I), through the SEAG, those instances where quality education and/or training courses are required, but are not available, and make recommendations concerning the nature and content of education and/or training courses needed to fulfill requirements.

2. Professional Development. Those aspects of career development concerned with the education and training of individuals to improve their performance in given positions and specialties.

3. Security Countermeasures (SCM). Those activities required to detect, deter, or prevent espionage, sabotage, theft, and unauthorized use of classified information, systems, or material as well as the physical protection of DoD personnel and other valuable assets.

4. Security Countermeasures (SCM) Education and Training. Those formal activities, products, and services intended to create or enhance the knowledge or skills of persons, or raise their level of motivation, performance, or awareness with respect to the protection of classified information, systems, or material as well as the physical protection of DoD personnel and other valuable assets.

5. Technical Security. The SCM; i.e., technical surveillance countermeasures, information systems security, and counter-signals intelligence, that operate against unauthorized intercept

of unencrypted information during preparation or transmission and that use electronic and electro-mechanical devices to safeguard classified or controlled information and material.

D. POLICY

It is DoD policy that:

1. Uniform, standardized, effective, and cost-efficient education and training programs shall be established and maintained to meet national and DoD-level SCM objectives and both near-term and long-term professional development requirements of DoD civilian, military, and contractor personnel charged with the execution of SCM responsibilities.

2. DoDSI shall function as the primary resource for DoD SCM education, training, and professional development support. Where a DoD Component is assigned focal point responsibility for education and training for a particular SCM program, the nature and extent of support to be provided by the DoDSI shall be determined by the ASD(C3I) in coordination with the focal point. DoDSI shall establish and maintain a listing of recommended SCM education and training courses and materials that will be provided to DoD Components, DoD contractors, and other Federal Agencies upon request.

3. The DoD Polygraph Institute (DoDPI) shall function as the sole source for basic and advanced psychophysiological detection of deception (PDD) education and training for DoD polygraph examiners and shall conduct an aggressive research program to ensure that the DoD PDD Program of Instruction takes advantage of the latest techniques and technology. DoDPI may support other Federal Agencies on either a reimbursable or space available basis.

4. Focal points designated under this Directive shall monitor and review education, training, and professional development courses and materials available in their respective areas of responsibility, and recommend to the SEAG those courses and materials they believe should be adopted for use by the DoD Components. Where a need for education and training support exists, but no courses and materials are currently available, focal points shall develop and submit to the SEAG recommendations for proposed courses and materials to meet requirements.

E. RESPONSIBILITIES

1. The Assistant Secretary of Defense for Command, Control, Communications, and Intelligence shall:

a. Oversee DoD SCM and polygraph education, training, and

professional development programs to ensure that such programs focus on essential needs, avoid inefficiency and unwarranted redundancy, promote cooperation and mutual support, and recognize assigned authorities and responsibilities.

b. Have authority, direction, and control of the DoDSI and the DoDPI and ensure that these activities operate efficiently and that appropriate management safeguards are in place to prevent fraud, waste, and abuse.

c. Oversee and manage the activities of the ITC, including technical security training, research, operations, and program support. Technical security training responsibilities should be exercised with the coordination and participation of the U.S. Intelligence and SCM communities.

d. Ensure that the Director, Defense Investigative Service (DIS), provides the DoDSI, ITC and the DoDPI with funding approved by the ASD(C3I) and includes the DoDSI and DoDPI annual budgets as separate line items in the DIS budget and financial plan.

e. Serve as approval authority for requests for DoDSI services or products above and beyond those covered by the current DoDSI budget allocation and, consistent with DoD 7220.9-M and DoD 7000.14-R (references (e) and (f)), and establish such reimbursable authority and agreements as may be required to permit DoDSI to accept and obligate funds necessary to fulfill ASD(C3I)-approved requests.

f. Appoint the Chair, Joint Visual Information SCM Review Board, established under DoD Directive 5040.2 (reference (g)).

g. Establish, and appoint the Chair of, a DoDPI Advisory Committee consisting of the Polygraph Program Managers of the DoD Components and, as appropriate, other Federal Agencies. The committee shall meet at least annually to provide advice and assistance to the Director, DoDPI, on ongoing or proposed polygraph projects and other matters pertinent to the effective operation of the DoDPI.

h. Establish a SEAG, consisting of representatives from the DoD Components and, as appropriate, other Federal Agencies. This group will meet at least biannually and will serve as the DoD forum for the discussion of SCM education, training and professional development support requirements. SEAG working groups and additional customer advisory bodies may be established as needed. Refer to DoD Directive 5105.4 (reference (h)) for guidance relating to advisory committees including individuals who are not full-time employees of the Federal Government.

i. Approve and publish DoD Instructions and Publications, as necessary, to guide and direct DoD SCM security education, training, and career development activities.

j. Encourage liaison among the DoD Components with SCM security education and training responsibilities and other DoD Components; industry; professional associations; academia; Federal, State, and local government organizations; and international organizations. The purpose of such liaison is to share information that may be of use in implementing or improving DoD SCM education, training, and professional development programs. If liaison includes establishing advisory committees, including individuals who are not full-time employees of the Federal Government, refer to DoD Directive 5105.4 (reference (h)) for guidance.

k. Establish policies and procedures on DoD SCM security education and training support to Federal, State, and local agencies and to foreign governments.

l. Ensure that the Director, Defense Intelligence Agency, serves as the focal point for sensitive compartmented information security education and training.

2. The Under Secretary of Defense for Acquisition and Technology shall ensure that the Director, Defense Logistics Agency, provides requisite administrative support for DoDSI's operations at the Defense General Supply Center, Richmond, VA. Such support shall be provided on a reimbursable basis in accordance with DoD Instruction 4000.19 (reference (i)).

3. The Under Secretary of Defense for Personnel and Readiness shall provide computer programming and analysis resources at the Defense Manpower Data Center to support the DoDSI, ITC, and DoDPI, as required, subject to availability of such resources or reimbursement from the requesting agency.

4. The Under Secretary of Defense for Policy shall serve as the focal point for international programs and special access program security education, training, and professional development.

5. The Secretary of the Army shall:

a. Serve as the focal point for physical security education and training.

b. Provide such facility, administrative, logistic, and practical examination support as may be required to support the DoDPI mission.

6. The Director, National Security Agency, shall serve as the focal point for DoD communications security, cryptologic security, and, information systems security education and training.

7. The Inspector General of the Department of Defense shall serve as the focal point, in coordination with the ASD(C3I), for polygraph education and training as it applies to the investigation of criminal cases.

8. The Heads of the DoD Components shall:

a. Advise the ASD(C3I) of current and projected requirements for SCM education and training support.

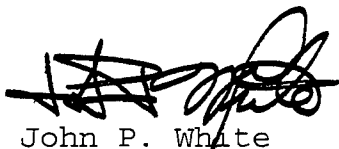
b. Establish and maintain professional development programs to meet both the near-term and long-term requirements of civilian and military personnel charged with the execution of SCM responsibilities.

c. Provide resources to support their respective requests for SCM education and training products and services over and above those funded for in the responsible Component or DoD activity current budget allocation.

d. Within statutory and operational requirements governing criminal and other investigations, make available to the DoDPI all records, case files, and quality control information as required by the DoDPI to accomplish its mission.

F. EFFECTIVE DATE

This Directive is effective immediately.



John P. White
Deputy Secretary of Defense

Enclosure
References

REFERENCES, continued

- (e) DoD 7220.9-M, "Department of Defense Accounting Manual," February 1988, authorized by DoD Instruction 7220.9, October 22, 1981
- (f) DoD 7000.14-R, "Department of Defense Financial Management Regulation," March 1993, authorized by DoD Instruction 7000.14, November 15, 1992
- (g) DoD Directive 5040.2, "Visual Information," December 7, 1987
- (h) DoD Directive 5105.4, "Department of Defense Federal Advisory Committee Management Programs," September 5, 1989
- (i) DoD Instruction, 4000.19, "Interservice, Interdepartmental, and Interagency Support," April 15, 1992